

**Michigan Department of Consumer & Industry Services**  
**Office of Financial and Insurance Services**  
**DISCIPLINARY ACTION REPORT – 2nd Quarter 2000**  
**For More Information Call: (517) 241-6350**

Location of Subject	Date	Docket #	Respondent(s)	Violation(s)	Penalties	For Further Information Contact
Lake Worth, FL	4/17/00	SA 9417	U.S. Capital Funding, Inc. 4889 Lake Worth Road Lake Worth, FL 33463	Offering and selling unregistered, nonexempt securities Section 301  Omission to state material facts Section 101(2)	Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement 6546 Mercantile Way P.O. Box 30701 Lansing, MI 48909
Midland, MI	5/9/00	SN 9262	Caleb Industries, Inc. 1178 Carter Road Midland, MI 48642	Offering and selling unregistered, nonexempt securities Section 301  Omission to state material facts Section 101(2)	Enter into Consent Order with the Office of Financial and Insurance Services  Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement 6546 Mercantile Way P.O. Box 30701 Lansing, MI 48909
Midland, MI	5/9/00	SN 9262	James L. Gee, General Manager Caleb Industries, Inc. 1178 Carter Road Midland, MI 48642	Offering and selling unregistered, nonexempt securities Section 301  Omission to state material facts Section 101(2)  Transacting business as a securities agent without being registered Section 201(a)	Enter into Consent Order with the Office of Financial and Insurance Services  Revoked and Denied with respect to right to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act  Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement 6546 Mercantile Way P.O. Box 30701 Lansing, MI 48909
Midland, MI	5/9/00	SN 9262	Joyce M. Gee, Office Manager Caleb Industries, Inc. 1178 Carter Road Midland, MI 48642	Offering and selling unregistered, nonexempt securities Section 301  Omission to state material facts Section 101(2)	Enter into Consent Order with the Office of Financial and Insurance Services  Revoked and Denied with respect to right to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act  Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement 6546 Mercantile Way P.O. Box 30701 Lansing, MI 48909
Logan, UT	5/23/00	SA 9417	Pinnacle Marketing Group, LLC 77 East 1400 North PMB #361 Logan, UT 84341	Offering and selling unregistered, nonexempt securities Section 301  Omission to state material facts Section 101(2)	Enter into Consent Order with the Office of Financial and Insurance Services  Revoked and Denied with respect to right to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act  Cease and Desist  Pay civil penalty of \$1,000.00	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement 6546 Mercantile Way P.O. Box 30701 Lansing, MI 48909
Baltimore, MD	6/8/00	BD 9356	May, Davis Group, Inc. 2 North Charles Street, Suite 840 Baltimore, MD 21201  CRD No. 35622	Violating or failing to comply with any provision of the Act or a Rule or Order issued under the Act Section 204(a)(1)(B)	Enter into Consent Order with the Office of Financial and Insurance Services: terms and conditions of the prior Consent Order of March 12, 1999  Pay civil penalty of \$1,000 and administrative costs of 1,850  Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement 6546 Mercantile Way P.O. Box 30701 Lansing, MI 48909

Location of Subject	Date	Docket #	Respondent(s)	Violation(s)	Penalties	For Further Information Contact
Berrien Springs, MI	6/22/00	SA 9417	George W. Shafer 5735 Birch Dr. Berrien Springs, MI 49103  CRD No. 1075192	Offering and selling unregistered, nonexempt securities Section 301  Omission to state material facts Section 101(2)	Enter into Consent Order with the Office of Financial and Insurance Services  Respondent's agent registration is revoked  Respondent is censured  Revoked and Denied with respect to the right to sell exempt securities or engage in exempt transactions in the future without compliance with the registration provisions of the Act  Pay civil penalty of 1,500  Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement 6546 Mercantile Way P.O. Box 30701 Lansing, MI 48909
Phoenix, AZ	6/29/00	DM 0302	Consumer Credit Counseling Services Southwest P.O. Box 81229 Phoenix, AZ 85069-1229	Engaging in the business of debt management without obtaining a license or exemption order Section 4(1)	Cease and Desist	Consumer & Industry Services Office of Financial & Insurance Services Division of Securities-Enforcement 6546 Mercantile Way P.O. Box 30701 Lansing, MI 48909